

07-24-00

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

CERTIFICATE OF EXPRESS MAILING

I hereby certify that this paper and the documents and/or fees referred to as attached therein are being deposited with the United States Postal Service on July 20, 2000 in an envelope as "Express Mail Post Office to Addressee" service under 37 CFR §1.10, Mailing Label Number **EL560312914US**, addressed to the Assistant Commissioner for Patents, Washington, DC 20231.

Atty Dkt No.: SRI1P020/US-4184-2

First Named Inventor: Pelrine



*Diana Holmes*  
Diana Holmes

UTILITY PATENT APPLICATION TRANSMITTAL (37 CFR. § 1.53(b))  
(Regular application claiming priority of a provisional)

☐ Duplicate for  
fee processing

Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

Sir: This is a request for filing a patent application under 37 CFR. § 1.53(b) in the name of inventors:  
Ronald E. Pelrine, Roy D. Kornbluh, and Qibing Pei

For: ELECTROACTIVE POLYMER TRANSDUCERS AND ACTUATORS

Priority of U.S. Provisional Application No. 60/144,556 filed on July 20, 1999 is claimed under 35 U.S.C. § 119(e); and from co-pending U.S. Provisional Patent Application No. 60/153,329, filed September 10, 1999; and from co-pending U.S. Provisional Patent Application No. 60/161,325, filed October 25, 1999; and from co-pending U.S. Provisional Patent Application No. 60/181,404, filed February 9, 2000; and from co-pending U.S. Provisional Patent Application No. 60/187,809, filed March 8, 2000; and from co-pending U.S. Provisional Patent Application No. 60/192,237, filed March 27, 2000.

Application Elements:

- ☒ 44 Pages of Specification, Claims and Abstract  
☒ 14 Sheets of **informal** Drawings  
☒ 03 Combined Declaration and Power of Attorney - unexecuted  
☐ Separate Declaration

Accompanying Application Parts:

- ☐ Assignment and Assignment Recordation Cover Sheet (recording fee of \$40.00 enclosed)  
☐ Separate Power of Attorney by Assignee  
☐ 37 CFR 3.73(b) Statement by Assignee  
☐ Information Disclosure Statement with Form PTO-1449  
☐ Copies of IDS Citations

- ☐ Preliminary Amendment  
☒ Return Receipt Postcard  
☐ Small Entity Statement(s)  
☐ Other:

**Claim For Foreign Priority**

☐ Priority of \_\_\_\_\_ Application No. \_\_\_\_\_ filed on \_\_\_\_\_ is claimed under 35 U.S.C. § 119.

☐ The certified copy is enclosed.

☐ The certified copy will follow.

☒ Amend the specification by inserting before the first line the sentence:

"This application claims the benefit of U.S. Provisional Application No. 60/144,556, filed on July 20, 1999 claimed under 35 U.S.C. § 119(e); and from co-pending U.S. Provisional Patent Application No. 60/153,329, filed September 10, 1999; and from co-pending U.S. Provisional Patent Application No. 60/161,325, filed October 25, 1999; and from co-pending U.S. Provisional Patent Application No. 60/181,404, filed February 9, 2000; and from co-pending U.S. Provisional Patent Application No. 60/187,809, filed March 8, 2000; and from co-pending U.S. Provisional Patent Application No. 60/192,237, filed March 27, 2000, the disclosure of which is incorporated herein by reference."

**Fee Calculation (37 CFR § 1.16)**

	(Col. 1)	(Col. 2)	SMALL ENTITY		OR	LARGE ENTITY	
	NO. FILED	NO. EXTRA	RATE	FEE		RATE	FEE
BASIC FEE			\$345	\$		\$690	\$690
TOTAL CLAIMS	<u>52</u> -20 = <u>32</u>		x9 = \$		OR	x18 = \$	\$576
INDEP CLAIMS	<u>08</u> -03 = <u>05</u>		x39 = \$		OR	x78 = \$	\$390
[ ] Multiple Dependent Claim Presented			\$130 = \$		OR	\$260 = \$	
* If the difference in Col. 1 is less than zero, enter "0" in Col. 2.			Total	\$	OR	Total	\$1,656.00

☒ PLEASE DEFER FILING FEES AT THIS TIME.

☐ The Commissioner is authorized to charge any fees beyond the amount enclosed which may be required, or to credit any overpayment, to Deposit Account No. 50-0388 (Order No. SR11P020).

General Authorization for Petition for Extension of Time (37 CFR §1.136)

☒ Applicants hereby make and generally authorize any Petitions for Extensions of Time as may be needed for any subsequent filings. The Commissioner is also authorized to charge any extension fees under 37 CFR §1.17 as may be needed to Deposit Account No. 50-0388 (Order No. SRI1P020).

☒ Please send correspondence to the following address:

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Date: July 20, 2000

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